

OCT 1998

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		SIM-10002/16
INTERNATIONAL APPLICATION NO. PCT/CA97/00212		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/101,844
INTERNATIONAL FILING DATE 03 APRIL 1997		PRIORITY DATE CLAIMED 03 APRIL 1996
TITLE OF INVENTION <b>LEAD-FREE BULLETT</b>		
APPLICANT(S) FOR DO/EO/US <b>CESARONI, ANTHONY J.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li>8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>		
Items 13 to 18 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment. A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A substitute specification.</li> <li>17. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>18. <input type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>19. <input type="checkbox"/> Other items or information:</li> </ol>		
<div style="border: 1px solid black; padding: 5px; text-align: center;"> <b>Verified Statement Claiming Small Entity Status; Request for Refund</b> </div>		

20. The following fees are submitted:.

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Search Report has been prepared by the EPO or JPO .....	\$930.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$720.00
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....	\$790.00
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$1,070.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....	\$98.00

**CALCULATIONS PTO USE ONLY**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**\$0.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20 =	0	x \$22.00	<b>\$0.00</b>
Independent claims	- 3 =	0	x \$82.00	<b>\$0.00</b>
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
				<b>TOTAL OF ABOVE CALCULATIONS = \$0.00</b>

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).

**\$0.00**

**SUBTOTAL = \$0.00**

Processing fee of \$130.00 for furnishing the English translation later than  20  30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**\$0.00**

**TOTAL NATIONAL FEE = \$0.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

**\$40.00**

**TOTAL FEES ENCLOSED = \$40.00**

Amount to be: refunded	\$
charged	\$

A check in the amount of to cover the above fees is enclosed.

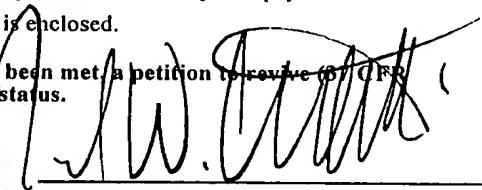
Please charge my Deposit Account No. in the amount of to cover the above fees.  
A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 07-1180 A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Ronald W. Citkowski  
Gifford, Krass, Groh, Sprinkle,  
Patmore, Anderson & Citkowski, P.C.  
280 N. Old Woodward Avenue, Suite 400  
Birmingham, MI 48009-5394  
(248) 647-6000 Phone  
(248) 647-5210 Fax

  
SIGNATURE

Ronald W. Citkowski

NAME

31,005

REGISTRATION NUMBER

27 August 1998

DATE

Attorney Docket: SIM-10002/16

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Cesaroni PATENT  
Serial No.: 09/101,844 3  
Filed: July 16, 1998  
For: LEAD-FREE BULLET

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 dated 04 September 1998, enclosed are the following:

1. Copy of Declaration filed August 28, 1998 and accompanying documents;
2. Check in the amount of \$65.00 to cover the small entity surcharge;
3. Copy of Notification of Missing Requirements.

If any additional charges are required, please charge our deposit account number 07-1180.

Respectfully submitted,



Allen M. Krass  
Registration No. 18,277  
Gifford, Krass, Groh, Sprinkle,  
Patmore, Anderson & Citkowski, P.C.  
280 N. Old Woodward, Suite 400  
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(248) 647-6000

RWC/kln

10/07/1998 PVOOLPE 00000014 09101844

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65.00 0P

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on 9-30-98.

  
\_\_\_\_\_  
Kathryn L. Nash

Attorney Docket No. SIM-10002/16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Anthony J. Cesaroni PATENT  
Serial No.: 09/101,844  
Filed: July 16, 1998  
For: LEAD-FREE BULLET

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**REQUEST FOR REFUND**

Assistant Commissioner of Patents  
Washington, D.C. 20231

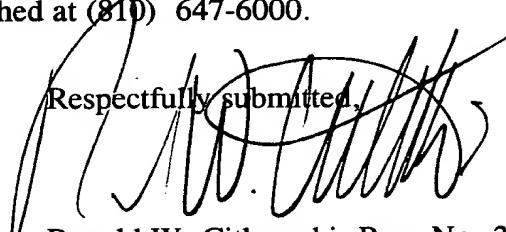
Dear Sir:

Applicant respectfully requests a refund of one-half of the filing fee in the above-identified patent application. Enclosed is a Verified Statement Claiming Small Entity Status executed by the applicant.

If there are any fees associated with this request, the Commissioner is hereby authorized to charge them to Deposit Account No. 07-1180. A duplicate of this request is enclosed.

If the Examiner has any further questions relating to this Application, Applicant's attorney may be reached at (810) 647-6000.

Respectfully submitted,

  
Ronald W. Citkowski, Reg. No. 31,005  
Attorney for Applicant  
Gifford, Krass, Groh, Sprinkle,  
Patmore, Anderson & Citkowski, P.C.  
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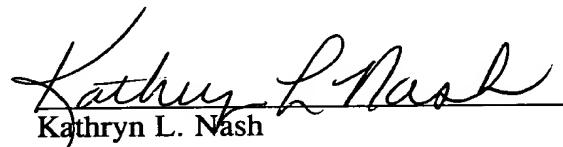
Serial No. 09/101,844 - Page 2

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER EL070567977US

DATE OF DEPOSIT August 28, 1998

I hereby certify that this paper or fee (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Kathryn L. Nash